



#3

Page 1 of 1

C TH

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/815,597	03/23/2001	Maurice J. Wolin	PP01658.002 (035784/209107)

CONFIRMATION NO. 7188

FORMALITIES LETTER



OC000000006078088

Chiron Corporation
Intellectual Property Department
P.O. Box 8097
Emeryville, CA 94662-8097



Date Mailed: 05/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

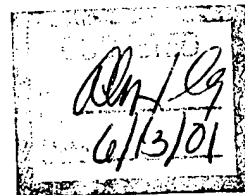
PART 2 - COPY TO BE RETURNED WITH RESPONSE

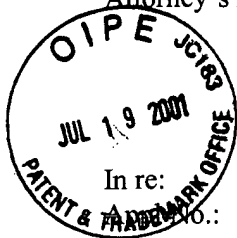
ALSTON & BIRD
RTA
RECEIVED
6/8/01 ww

07/23/2001 BNGUYEN1 00000122 09815597

01 FC:105

130.00 DP





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Wolin *et al.*
Application No.: 09/815,597
Filed: March 23, 2001
For: METHODS OF THERAPY FOR NON-HODGKIN'S LYMPHOMA
Confirmation No.: 7188

July 16, 2001

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO
FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application dated May 15, 2001, enclosed are the following:

- ☒ Part 2 of Formalities Letter or Form PTO-1533
(Notice to File Missing Parts of Application)
- ☒ Declaration, Power of Attorney, and Certificate Under 37 C.R.F. §3.73(b) for the above-identified application which has been executed by the named inventor(s)
- ☐ Applicant claims small entity status
- ☒ Check in the amount of \$130.00 to cover the surcharge under 37 C.F.R. § 1.16(e)
- ☐ English Translation and \$130.00 (37 CFR 1.17(k)) fee for filing late.
- ☐ Other:

Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,

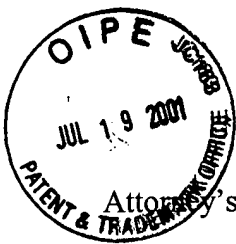
Leslie T. Henry
Registration No. 45,714

Customer No. 00826
ALSTON & BIRD LLP
Bank of America Plaza
101 South Tryon Street, Suite 4000
Charlotte, NC 28280-4000
Tel Raleigh Office (919) 420-2200
Fax Raleigh Office (919) 420-2260

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: **Box Missing Parts**, Commissioner For Patents, Washington, DC 20231, on July 16, 2001.

Polly P. Burton



Attorney's Docket No. PP01658.002 (35784/209107)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Wolin *et al.*
Appl No.: 09/815,597 Confirmation No. 7188
Filed: March 23, 2001
For: METHODS OF THERAPY FOR NON-HODGKIN'S LYMPHOMA

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

**SUBMITTAL OF DECLARATION
UNDER 37 C.F.R. §1.63**

Sir:

Enclosed is a Declaration, Power of Attorney, and Certificate Under 37 C.F.R. §3.73(b) for the above-identified patent application that has been executed by the named inventors.

A check in the amount of \$130 is also enclosed to cover the surcharge under 37 C.F.R. §1.16(e). Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,

Leslie T. Henry
Registration No. 45,714

Customer No. 00826
ALSTON & BIRD LLP
Bank of America Plaza
101 South Tryon Street, Suite 4000
Charlotte, NC 28280-4000
Tel Raleigh Office (919) 420-2200
Fax Raleigh Office (919) 420-2260

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: **Box Missing Parts, Commissioner For Patents, Washington, DC 20231**, on July 16, 2001.

Polly P. Burton



Attorney's Docket No. PP01658.002 (35784/209107)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Wolin *et al.*
Appl. No.: 09/815,597 Group Art Unit: Not yet assigned
Filed: March 23, 2001 Examiner: Not yet assigned
For: METHODS OF THERAPY FOR NON-HODGKIN'S LYMPHOMA

CERTIFICATE UNDER 37 C.F.R. §3.73(b)

CHIRON CORPORATION, a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
and UNIVERSITY OF ROCHESTER, a university
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

state that they are:

1. ☒ the assignees of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

- A. ☒ Assignments from inventors of priority document, U.S. Provisional Application Serial No. 60/192,047, filed March 24, 2000, for which copies thereof are attached, and are also filed concurrently herewith.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

1. From: ____ To: ____
The document was recorded in the Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.
2. From: ____ To: ____
The document was recorded in the Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.
3. From: ____ To: ____
The document was recorded in the Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.

In re: Wolin *et al.*
Appl. No.: 09/815,597
Filed: March 23, 2001
Page 2 of 3

- ☒ Copies of assignments or other documents in the chain of title are attached.
[NOTE: The original assignment document (or a true copy of the original document) is submitted concurrently herewith to Assignment Division in accordance with 37 CFR Part 3]

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of Chiron Corporation.

Date: June 18, 2001

Name: Charlene A. Launer

Title: Assistant Secretary

Signature: Charlene A. Launer

In re: Wolin *et al.*
Appl. No.: 09/815,597
Filed: March 23, 2001
Page 3 of 3

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of University of Rochester.

Date: Mar 21, 2001
Name: Marjorie Hunter
Title: Director, Office of Technology Transfer
School of Medicine
Signature: June 21, 2001

CERTIFICATION OF FACSIMILE TRANSMISSION	CERTIFICATE OF MAILING
<p>I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office at Fax No. _____ on the date shown below.</p> <p>_____ (Type or print name of person signing certification.)</p> <p>_____ Signature</p> <p>_____ Date</p>	<p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on <u>July 16</u>, 2001.</p> <p><u>Polly P. Burton</u> Polly P. Burton</p>